ETSI SR 000 314 V2.26.2 (2019-11)



Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards



Reference RSR/BOARD-00029 Keywords IPR

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Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

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Foreword

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or Potentially Essential, to ETSI Standards or Technical Specifications.

The present document has been prepared on the basis of information received. ETSI has not checked the validity of the information, nor the relevance of the identified patents/patent applications to the ETSI standards and cannot confirm, or deny, that the patents/patent applications are, in fact, essential, or potentially Essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

It should also be noted that whilst ETSI members are not obliged to conduct IPR searches they are obliged to make reasonable efforts to inform ETSI of any Essential IPRs of which they become aware (see article 4 of the ETSI IPR Policy [i.1]).

The present document will be maintained by the ETSI Secretariat and further editions will be issued as, and when, necessary. Any errors in the information contained in the present document, or any additional information concerning Essential IPRs, of which readers of the present document become aware, should be notified to the ETSI Secretariat (for the attention of the Legal Service).

NOTE: The present document is also available at https://www.etsi.org/intellectual-property-rights [i.4].

Modal verbs terminology

In the present document "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

1 Scope

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or potentially Essential, to ETSI Standards or Technical Specifications.

Unless otherwise specified, all IPRs included into the present document have been notified to ETSI, with an undertaking from the owner to grant licences according to the terms and conditions of article 6.1 of the ETSI IPR Policy [i.1], Annex 6 of the ETSI Rules of Procedure [i.3].

2 References

2.1 Normative references

Normative references are not applicable in the present document.

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] ETSI IPR Policy.

NOTE: The ETSI IPR Policy can be found at https://portal.etsi.org/Resources/ETSI-Directives.

[i.2] ETSI Guide on IPRs.

NOTE: The ETSI Guide on IPRs is to be found at https://portal.etsi.org/Resources/ETSI-Directives.

[i.3] ETSI Rules of Procedure (RoP).

NOTE: The ETSI Rules of Procedure (RoP) can be found at https://portal.etsi.org/Resources/ETSI-Directives.

[i.4] ETSI IPR database.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the combined information statement and licensing declaration forms. Additional information can be found at https://www.etsi.org/intellectual-property-rights.

[i.5] Declare your IPRs to ETSI.

NOTE: A step by step explanation for filling out the above mentioned forms is to be found in the https://www.etsi.org/intellectual-property-rights. Additional information can be found at https://www.etsi.org/intellectual-property-rights.

3 Definition of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the following terms apply:

The terms "ESSENTIAL", "IPR", "STANDARD" and "TECHNICAL SPECIFICATION" given below are defined in the "Definitions" annex of the ETSI IPR Policy [i.1].

ESSENTIAL: as applied to IPR means that it is not possible on technical (but not commercial) grounds, taking into account normal technical practice and the state of the art generally available at the time of standardization, to make, sell, lease, otherwise dispose of, repair, use or operate EQUIPMENT or METHODS which comply with a STANDARD without infringing that IPR.

For the avoidance of doubt in exceptional cases where a STANDARD can only be implemented by technical solutions, all of which are infringements of IPRs, all such IPRs shall be considered ESSENTIAL.

NOTE: In practical terms, the existence of an Essential IPR makes it necessary to have a licence in order to exploit the standard concerned.

IPR: shall mean any intellectual property right conferred by statute law including applications therefore other than trademarks.

For the avoidance of doubt rights relating to get up, confidential information, trade secrets or the like are excluded from the definition of IPR.

IPR licensing declaration: declaration to the effect that the IPR owner declares that he is prepared to grant licences on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 and comply with clause 6.1 bis of the ETSI IPR Policy

notified: means any IPR information of which ETSI has been formally notified by the owner of the IPR or, any IPR information of which ETSI has become aware, pursuant to the IPR Policy

STANDARD: shall mean any standard adopted by ETSI including options therein or amended versions and shall include European Standards (ENs), ETSI standards (ESs), Common Technical Regulations (CTRs) which are taken from ENs and including drafts of any of the foregoing, and documents made under the previous nomenclature, including ETSs, I-ETSs, parts of NETs and TBRs, the technical specifications of which are available to all MEMBERS, but not including any standards, or parts thereof, not made by ETSI.

The date on which a STANDARD is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical specification of that STANDARD was available to all MEMBERS.

TECHNICAL SPECIFICATION: shall mean any Technical Specification (TS) adopted by ETSI including options therein or amended version including drafts, the Technical Specifications of which are available to all MEMBERS, but not including any technical specifications, or parts thereof, not made by ETSI.

The date on which a TECHNICAL SPECIFICATION is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical content of that TECHNICAL SPECIFICATION was available to all MEMBERS.

3GPP standards: all standards issued by ETSI in relation to 3rd Generation Partnership Project (3GPP)

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

BRAN standards: all standards issued by ETSI Project Broadband Radio Access Networks (BRAN)

NOTE: From https://portal.etsi.org/home, click on the "BRAN" tab.

DAB standards: all standards issued by ETSI in relation to Digital Audio Broadcasting (DAB)

NOTE: See https://www.etsi.org/technologies/broadcast.

DCS 1800 standards: all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication - DCS 1800 extensions

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

DECT/GSM interworking standards: all standards issued by ETSI in relation to interworking between DECT and GSM

DECT standards: all standards issued by ETSI in relation to Digital Enhanced Cordless Telephone (DECT), previously known as Digital Enhanced Cordless Telephone (DECT)

NOTE: From https://portal.etsi.org/home, click on the "DECT" tab.

DTM standards: all standards issued by ETSI in relation to Dynamic synchronous Transfer Mode (DTM)

NOTE: SPAN Technical Body has closed. See https://portal.etsi.org/tb/closed_tb/span.asp.

All SPAN standards can now be found under TISPAN.

DVB standards: all standards issued by ETSI in relation to Digital Video Broadcasting (DVB)

NOTE: See https://www.etsi.org/technologies/broadcast.

ERMES standards: all standards issued by ETSI in relation to European Radio Messaging System (ERMES)

NOTE: From https://portal.etsi.org/home, click on the "ERM" tab.

GPRS standards: all standards issued by ETSI in relation to General Packet Radio Service (GPRS)

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

GSM standards: all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication (GSM)

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

HDSL standards: all standards issued by ETSI in relation to High bit rate Digital Subscriber Line (HDSL)

NOTE: TM (TM 06) Technical Body has closed. See https://portal.etsi.org/tb/closed_tb/oldtbhome.asp.

HIPERLAN standards: all standards issued by ETSI in relation to High Performance Radio Local Area Network (HIPERLAN)

ISDN standards: all standards issued by ETSI in relation to Integrated Services Digital Network (ISDN)

NOTE: SPAN Technical Body has closed. See https://portal.etsi.org/tb/closed_tb/span.asp.

Lawful Interception (LI) standards: all standards issued by ETSI in relation to Lawful Interception (LI)

NOTE: See https://portal.etsi.org/TB-SiteMap/LI/Summary.

PSTN standards: all standards issued by ETSI in relation to Public Switched Telephone Network (PSTN)

NOTE: AT Technical Body has closed. See https://portal.etsi.org/tb/closed tb/oldtbhome.asp.

SDSL standards: all standards issued by ETSI in relation to Symmetrical Digital Subscriber Line (SDSL)

NOTE: TM (TM 06) Technical Body has closed. See https://portal.etsi.org/tb/closed_tb/oldtbhome.asp.

STAG standards: all standards issued by ETSI in relation to Security Techniques Advisory Group (STAG)

NOTE: SEC Technical Body has closed. See https://portal.etsi.org/tb/closed tb/oldtbhome.asp.

Television systems standards: all standards issued by ETSI in relation to TV broadcasting systems

NOTE: From https://portal.etsi.org/home, click on the "BROADCAST" tab.

TETRA standards: all standards issued by ETSI in relation to Terrestrial Trunked Radio (TETRA), previously known as Trans-European Trunked Radio (TETRA)

See https://www.etsi.org/technologies/tetra.

TFTS standards: all standards issued by ETSI in relation to Terrestrial Flight Telecommunication System (TFTS)

From https://portal.etsi.org/home, click on the "ERM" tab.

TIPHON standards: all standards issued by ETSI in relation to Telecommunications And Internet Protocol Harmonization Over Networks (TIPHON)

TIPHON Technical Body has closed. See https://portal.etsi.org/tb/closed tb/tiphon.asp.

All TIPHON standards can now be found under TISPAN.

UMTS standards: all standards issued by ETSI in relation to Universal Mobile Telecommunications System (UMTS)

From https://portal.etsi.org/home, click on the "3GPP" tab. NOTE:

VDSL standards: all standards issued by ETSI in relation to Very high speed Digital Subscriber Line (VDSL)

NOTE: TM (TM 06) Technical Body has closed. See https://portal.etsi.org/tb/closed_tb/oldtbhome.asp.

Symbols 3.2

Void.

Abbreviations 3.3

For the purposes of the present document, the following abbreviations apply:

AF AFGHA	NISTAN
	N INTELLECTUAL PROPERTY ORGANIZATION
	N REGIONAL INDUSTRIAL PROPERTY ORGANIZATION
AL ALBAN	
DZ ALGER	
	CAN SAMOA
AD ANDOR	
AO ANGOL	
	JA AND BARBUDA
AR ARGEN	
AM ARMEN	IA
AW ARUBA	
AU AUSTR	ALIA
AT AUSTR	[A
AZ AZERB	AIJAN
BS BAHAM	IAS
BH BAHRA	IN
BD BANGL	ADESH
BB BARBA	DOS
BY BELAR	US
BE BELGIU	$^{\prime\prime}$ M
BZ BELIZE	
BJ BENIN	
BM BERMU	DA
BT BHUTA	N
BO BOLIVI	A
BA BOSNIA	A AND HERZEGOVINA
BW BOTSW	ANA
BR BRAZIL	
BN BRUNE	I DARUSSALAM
BG BULGA	RIA

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BF
            BURKINA FASO
ΒI
            BURUNDI
KH
            CAMBODIA
            CAMEROON
CM
CA
            CANADA
CV
            CAPE VERDE
CF
            CENTRAL AFRICAN REPUBLIC
TD
            CHAD
CL
            CHILE
CN
            CHINA
CO
            COLOMBIA
            COMOROS
KM
            CONGO
CG
            COOPERATION COUNCIL FOR ARAB STATES OF THE GULF
GC
            COSTA RICA
CR
            CÔTE D'IVOIRE
CI
HR
            CROATIA
CU
            CUBA
CY
            CYPRUS
CZ
            CZECH REPUBLIC
DK
            DENMARK
DJ
            DJIBOUTI
DM
            DOMINICA
            DOMINICAN REPUBLIC
DO
EC
            ECUADOR
EG
            EGYPT
SV
            EL SALVADOR
GQ
            EQUATORIAL GUINEA
ER
            ERITREA
EE
            ESTONIA
ET
            ETHIOPIA
EA
            EURASIAN PATENT OFFICE
EP
            EUROPEAN PATENT OFFICE
            FAROE ISLANDS
FO
FJ
            FIJI
FI
            FINLAND
FR
            FRANCE
MK
            FYROM
GA
            GABON
GM
            GAMBIA
GE
            GEORGIA
            GERMANY
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GH
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GR
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            GREENLAND
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            GRENADA
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            GUADELOUPE
GU
            GUAM
GT
            GUATEMALA
GN
            GUINEA
GW
            GUINEA-BISSAU
GY
            GUYANA
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HT HAITI
HN HONDURAS
HK HONG KONG
HU HUNGARY
IS ICELAND
IN INDIA

ID INDONESIA

IR IRAN (ISLAMIC REPUBLIC OF)

IQ IRAQ

IE IRELAND
IL ISRAEL
IT ITALY
JM JAMAICA
JP JAPAN
JO JORDAN
KZ KAZAKHSTAN

KE KENYA

KP KOREA (DEM. PEOPLE'S REP. OF)

KR KOREA (REPUBLIC OF)

KW KUWAIT KG KYRGYZSTAN

LA LAO PEOPLE'S DEM. REP.

LV LATVIA
LB LEBANON
LS LESOTHO
LR LIBERIA

LI LIECHTENSTEIN
LT LITHUANIA
LU LUXEMBOURG

MO MACAU

MG MACAU
MG MADAGASCAR
MW MALAWI
MY MALAYSIA
MV MALDIVES
ML MALI
MT MALTA
MQ MARTINIQUE

MQ MARTINIQUE
MR MAURITANIA
MU MAURITIUS
YT MAYOTTE
MX MEXICO

MD MOLDOVA (REPUBLIC OF)

MC **MONACO** MN **MONGOLIA** ME **MONTENEGRO** MS **MONTSERRAT** MA **MOROCCO MOZAMBIQUE** MZMM **MYANMAR** NA **NAMIBIA** NP **NEPAL**

NL NETHERLANDS

AN NETHERLANDS ANTILLES

NC NEW CALEDONIA
NZ NEW ZEALAND
NI NICARAGUA
NE NIGER

NE NIGER
NG NIGERIA
NO NORWAY
OM OMAN
PK PAKISTAN
PW PALAU
PA PANAMA

PG PAPUA NEW GUINEA

PY PARAGUAY

WO PATENT COOPERATION TREATY

PE PERU
PH PHILIPPINES
PL POLAND
PT PORTUGAL
PR PUERTO RICO

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QA
            QATAR
RE
            RÉUNION
RO
            ROMANIA
RU
            RUSSIAN FEDERATION
RW
            RWANDA
WS
            SAMOA
SM
            SAN MARINO
SA
            SAUDI ARABIA
SN
            SENEGAL
RS
            SERBIA
SC
            SEYCHELLES
SL
            SIERRA LEONE
            SINGAPORE
SG
            SLOVAKIA
SK
SI
            SLOVENIA
SO
            SOMALIA
ZA
            SOUTH AFRICA
ES
            SPAIN
            SRI LANKA
LK
SD
            SUDAN
SR
            SURINAME
SZ
            SWAZILAND
SE
            SWEDEN
CH
            SWITZERLAND
SY
            SYRIAN ARAB REPUBLIC
TW
            TAIWAN, PROVINCE OF CHINA
TJ
            TAJIKISTAN
TZ
            TANZANIA, UNITED REPUBLIC OF
TH
            THAILAND
TL
            TIMOR-LESTE
TG
            TOGO
TK
            TOKELAU
TO
            TONGA
TT
            TRINIDAD AND TOBAGO
TN
            TUNISIA
TR
            TURKEY
TM
            TURKMENISTAN
TV
            TUVALU
UG
            UGANDA
UA
            UKRAINE
            UNITED ARAB EMIRATES
ΑE
            UNITED KINGDOM
GB
            UNITED STATES
US
UY
            URUGUAY
UZ
            UZBEKISTAN
VU
            VANUATU
VE
            VENEZUELA
VN
            VIET NAM
YE
            YEMEN
            YUGOSLAVIA
YU
ZM
            ZAMBIA
ZW
            ZIMBABWE
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4 Notified IPRs

The Licensing Declarations given for the IPRs are contained in archive sr_000314v022602p0.zip which accompanies the present document.

NOTE: The format of the extraction file has been updated, the new file includes a ReadMe tab for easy use.

ETSI has not checked the validity of the information, nor the relevance of the identified IPRs to the Standards or Technical Specifications and cannot confirm, or deny, that the IPRs are, in fact, essential, or potentially essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

4.1 Notifications

Essential, or potentially essential, IPRs in respect of which a notification followed by a Licensing Declaration has been given by the IPR owner and for which licences are available on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 and comply with clause 6.1 bis of the ETSI IPR Policy [i.1], annex 6 of the ETSI Rules of Procedure [i.3]. The ETSI IPR Policy can be found at https://portal.etsi.org/home.aspx.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the combined <u>information statement and licensing declaration forms</u>. Additional information can be found at https://www.etsi.org/intellectual-property-rights [i.4], [i.2] and [i.5].

4.1.1 List of Project Names used in the ETSI IPR Database

3GP-DASH; 3GP-DASH-Release-10; 3GP-DASH-Release-11; 3GPP; 3GPP 5G NR; 3GPP SAE; 3GPP-CN; 3GPP-CT; 3GPP-EUTRAN; 3GPP-GERAN; 3GPP-radio; 3GPP-Release-10; 3GPP-Release-11; 3GPP-Release-12; 3GPP-Release-13; 3GPP-Release-14; 3GPP-Release-15; 3GPP-Release-16; 3GPP-Release-1997; 3GPP-Release-1998; 3GPP-Release-1999; 3GPP-Release-4; 3GPP-Release-5; 3GPP-Release-6; 3GPP-Release-7; 3GPP-Release-8; 3GPP-Release-9; 3GPP-SA; 3GPP-T; 3GPP-UTRAN; 5G; AHS Release 9; AlgUEA2; AMRWB; AMRWB+; BACKBONE; BLINK; BRAN; Broadband Access; CAMEL; CAT; CH; Cheaper Internet; CLIK; CNTRSP; CRS; CSICS; CSIterm; CTM2; DAB; DASH Release 10; DASH Release 11; DASH Release 9; DCS 1800; DECT; DECT CI; DECT CTM; DECT GSM-IW; DECT ISDN-IW; DIIS; DMR; dPMR; DRM; DTM; DTS; DVB; DVB-H; DVB-T2; E2EMSPM; e-accessibility; eANDSF; eCall Phase2; ECI; e-commerce; EData; EDCH; EDCHTDD; eEurope: eEurope implementation guide: eEurope2005 Broadband: eEurope2005 Security: EHNB: eICIC LTE: Electronic Signatures; EMC1; eMPS; EMTEL; ERM; ERMES; e-transport; ETSI M2M; ETSI RRS; EVS codec; Family SL; FGE; FLO; FS CNO; FS CoMP LTE; FS CSN; FS CSoPS; FS EHNB enh; FS Energy LTE; FS_HetNet_eMOB_LTE; FS_HNB_Sec; FS_HSDPA_MP_TX; FS_iFire; FS_IMS_P2P; FS_IMSconf; FS_IS_DASH; FS_IVCS; FS_LC_MTC_LTE; FS_LTE_mobRelay; FS_LTE_TDD_eIMTA; FS_M2M; FS_MAPIM; FS_MLTICAST-IMS; FS_MMSC; FS_MODAI; FS_MTCe; FS_NGN_min_drive-tests; FS_NOVES; FS OAM LTE SAE; FS OAM-SON-HNB; FS PP; FS PWS; FS RAN LTEA; FS RAN-DCHS; FS_RAN-HNBeNB; FS_RAN-LTEmob; FS_SaMOG; FS_SM3GWLAN; FS_SPIA_IDC; FS_SSO_APS; FS_SSO_Int; FS_SSO_Int_Sec; FS_UM2M; FS_VAS4SMS; FS_vSRVCC; GAAI; GBA-IdM; GBAPush; GEM 1.0; GEM 1.2; GEM 1.2.2; GERAN; GERAN Release 6; GERAN Release 7; GERAN Release 8; GERAN Release 9; GMDSS; GMPRS; GMR; GPRS; GSM; GSM Release 4; GSM Release 6; GSM Release 7; GSM Release 98; GSM Release 99; GTT; HCI; HIPERACCESS; HIPERLAN/1; HIPERLAN/2; HIPERMAN; HNB_HENB_mob_enh; Home fibering system; HSDPA; HSPA+; HTTP_SDS; Hybrid Broadcast Broadband TV; ICSRA; IMCC; IMCC_ATS; IMCC_BASE; IMCC_ISDN/DECIW; IMCC_ISDN/GSMIW; IMCC_ITAEGT; IMCC_PR-1; IMS; IMS_AGCF; IMS_EMER_GPRS_EPS; IMS_PSS_MBMS_US; IMS_RP; IMSProtoc; IMS-UICC-S; IPCablecom; IPv4IMS; IRTSD-CS_IMS; IRTSD-IMSCS; ISDN; ISM; ITS; KeyEstUTerm; L_Band_LTE_ATC_MSS; Lawful Interception; LCLS-CN; LCS; LCS_LTE_EPS; LCS_LTE-NBPS; LCS-128 Pos; LibSec; LPP Release 10; LPR; LTE; LTE/EPS Release 9; LTE_CA; LTE_Relay; LTEimp; M2M; MBMS; MCC_3G_reporting; MCC_GSM_reporting; M-COMM; MDT_UMTSLTE; MEDIASEC; MESS5; MHEG-5 Broadcast Profile; MHP 1.0; MHP 1.1; MHP 1.2.2; MIMO; MIW-IMS; MMB; MMB Release 1; MMS4; MOSAP; Mp; NGN; NGN5: Lawful Interception; NGN-R1; NGN-R2; NGN-R3; oneM2M Release 1; oneM2M Release 2; PCC; PLT; PNM; RANFS-Evo; RANFS-HSPAEvo; RANFS-MBMSImp; RES; SAE; SAES; SAES Ph2; SAES2; SDH; SDH NETWORK ELEMENTS; SDR; SEC16; SEC1-SC; SEC-IMS; SES; SFR; SIMTC; Smart Card; SMSIP; SMSMI; SON; Speech Recognition; SRD; SRSES; STAG; TD-SCDMA; TEI; TEI10; TEI4; Television systems; TETRA; TETRA_DM; TETRA_V+D; TFTS; TG; TIPHON; TIPHON_R3; TISPAN; TV Anytime; TV-Anytime; UICC; UICC1; UMTS; UMTS FDD; UMTS TDD; UTRA; UTRAN; V5 Interface; VAS4SMS; WCDMA; WLAN; xDSL.

- 4.2 Void
- 4.3 Void

History

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V2.26.1	November 2019	Publication (Withdrawn)		
V2.26.2	November 2019	Publication		